



## Systematic DSC to Fee-for-Service Series Switching Client Authorization Form

### Account details

<input type="text"/>	<input type="text"/>	<input type="text"/>
Client First Name	Client Last Name	Mackenzie account(s) number
<input type="text"/>		<input type="text"/>
Client Signature (not required for nominee accounts)		Mackenzie account(s) number
<input type="text"/>		
Date (DD-MM-YY)		

### Joint Client

<input type="text"/>	<input type="text"/>	<input type="text"/>
Joint Client First Name	Joint Client Last Name	Mackenzie account(s) number
<input type="text"/>		<input type="text"/>
Joint Client Signature (not required for nominee accounts)		Mackenzie account(s) number
<input type="text"/>		
Date (DD-MM-YY)		

### Dealer Information

<input type="text"/>	<input type="text"/>
Dealer Firm Name	Dealer Number
<input type="text"/>	<input type="text"/>
Advisor Name	Advisor Number
<input type="text"/>	<input type="text"/>
Authorized Financial Advisor Signature	Date (DD-MM-YY)

The service leverages Matured Unit Auto-Conversion and Preferred Pricing Services currently available at Mackenzie. The Matured Unit Auto-Conversion service automates the conversion of deferred sales charge ("DSC") units that have matured and become free of redemption fees ("Matured Units") to the front-end sales charge ("SCS") purchase option of the same series of a fund. The Preferred Pricing Service automates the conversion of Matured Units and SCS Retail Series to the equivalent Private Wealth Series of the same fund – once investors reach the asset threshold that meets the minimum eligibility requirements for Mackenzie's Preferred Pricing, their assets are automatically switched into the equivalent Private Wealth Series, as long as the combined management and administration fee in the Private Wealth Series is lower.

The Systematic DSC to Fee-for-Service Switching service allows you to automatically convert Matured Units to the chosen fee-for-service series by leveraging the Matured-Units Auto-Conversion service and allows you to automatically convert all qualified (for Preferred Pricing) Matured Units and Retail SCS Series directly to a chosen fee-for-service series, leveraging the Preferred Pricing Service.

### Overview of Service

As your securities that you originally purchased under a DSC purchase option mature and become free of redemption fees ("Matured Units"), this service allows you to automatically switch them into the chosen fee-for-service series as indicated below. If you choose PWX, this service will not start until you have \$100,000 in eligible investments.

**Note:** If, after you sign up for this service, you subsequently qualify for Private Wealth Series (meaning that you have \$100,000 in Eligible Investments, as defined in the Prospectus, across your household), all of your Matured Units, as well as other retail series in your account with an embedded management and administration fee will automatically be switched to your chosen fee-for-service series. If your chosen fee-for-service series is FB or FB5, everything will be automatically switched into series PWFB or PWFB5, as applicable.

**Instructions:**

Please indicate in the table below ONE "To Series" selection for each "From Series" that you hold.

- If you do not qualify for Private Wealth Series at the time you sign up for this service, and you choose column 3, this service will not start until you have \$100,000 in eligible investments.
- If you qualify for Private Wealth Series at the time that you sign up for this service, you may choose any of the "To Series" options in columns 1, 2 or 3.
- If you choose a fee-for-service series in column 2 and you subsequently reach \$100,000 in Eligible Investments, your Series FB, or Series FB5 as the case may be, will automatically be switched to series PWFB or PWFB5, with lower combined management and administration fees, as applicable.

**Eligible FROM Series and TO Series**

From Series	To Series		
	1	2	3
<b>A</b>	<input type="checkbox"/> F (one low fee for both Retail and Private Wealth)	<input type="checkbox"/> FB (Retail) / PWFB (Private Wealth)	<input type="checkbox"/> PWX (Private Wealth only)
<b>B</b>	<input type="checkbox"/> F	<input type="checkbox"/> FB / PWFB	<input type="checkbox"/> PWX
<b>T5</b>	<input type="checkbox"/> F5	<input type="checkbox"/> FB5 / PWFB5	<input type="checkbox"/> PWX5
<b>T6</b>	<input type="checkbox"/> F6	<input type="checkbox"/> FB5 / PWFB5	<input type="checkbox"/> PWX5
<b>T8</b>	<input type="checkbox"/> F8	<input type="checkbox"/> FB5 / PWFB8	<input type="checkbox"/> PWX8

**Additional Terms and Conditions:**

- Each "From series" noted above may only be instructed to go to one "To series" destination series at a time.
- Switches from Matured units to the chosen fee-for-service series will occur on the second Friday of every month, as the DSC securities mature.
- If you have less than \$100,000 in Eligible Investments in your account(s), any SCS securities with an embedded management and administration fee that were in your account(s) prior to signing up for this service will NOT be automatically switched to the chosen fee-for-service series until such time as you qualify for Private Wealth Series, unless you provide separate instructions to switch those series.
- Once you qualify for Private Wealth Series, we will switch your Matured Units and any remaining SCS Retail Series securities with an embedded fee into the chosen fee-for-service series. This will include any remaining series A, B, T5, T6, T8, SC, S5 or S8 securities that you have in your account. If you meet the eligibility criteria for Private Wealth Series through a purchase or switch transaction, the automatic switch will take place the following business day. If you meet the eligibility criteria through positive market movement, the automatic switch will take place on the second Friday of the month.
- If you transfer additional assets into your account(s) or make an additional purchase into your account(s), those series will also be included in this service.
- If you already qualify for Private Wealth Series prior to signing up for this service, please note that any Private Wealth Series in your account(s) with an embedded management and administration fee will NOT be automatically switched to the chosen fee-for-service series, unless you provide separate instructions to switch those series.
- In the event that you would like to make changes to the instructions provided above, you must provide revised instructions prior to the next switch date, which is the second Friday of the every month.
- Instructions are effective on the date that Mackenzie receives the completed forms (including any dealer agreement) in good order, not the date that you sign them. Instructions may not be backdated.
- Please note that fee-for-service series are only permitted if you are enrolled in a dealer-sponsored fee-for-service or wrap program and you are subject to an asset-based fee rather than commissions on each transaction OR you negotiate an advisor service fee with your dealer, which is specified within an agreement with us. All fee-for-service series require your dealer to enter into an agreement with us relating to the distribution of these securities.
- Your Dealer will provide the Fund Facts document for the chosen Fee-for-Service series for each Fund in your Account upon the signing of this form. However, you will not receive the Fund Facts for subsequent automatic switches that are made pursuant to this service.
- You may request a copy of the Fund Facts at any time and at no cost by calling 1-800-387-0614 or by email at [service@mackenzieinvestments.com](mailto:service@mackenzieinvestments.com). You can also view these documents online at <http://www.mackenzieinvestments.com> or <http://www.sedar.com>
- You will not have the right to withdraw from an agreement of purchase and sale in respect of a purchase of securities made as a result of an automatic switch pursuant to this service. You do have the right of action for damages or rescission in the event any fund facts, or document incorporated by reference into a simplified prospectus, for the relevant series contains a misrepresentation, whether or not you request the fund facts.
- You may include more than one account on this form if you would like the same choices to apply to all accounts listed on this form. If you would like different choices for separate accounts, please fill out a separate form for each account.

Please return signed and completed Agreement by fax or mail to:

**Mackenzie Investments**

Operations Group  
180 Queen Street West  
Toronto, ON M5V 3K1  
Fax: 416-922-5660 or toll free: 1-866-766-6623



**MACKENZIE**  
Investments